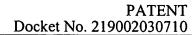


PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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TRANSMITTAL FORM		Filing Date	December 31, 2003		
		First Named Inventor	Barbara CORDELL		
(to be used for all correspondence afte.	r initial filing)	Art Unit	1641		
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Total Number of Pages in This Submission 7		Attorney Docket Numb	er 219002030710		
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Fee Transmittal Form	Drawing(s)		After Allowance Communication to Group		
Fee Attached	Fee Attached Licensing-related Papers		Appeal Communication to Board o Appeals and Interferences		
Amendment/Reply	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
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Affidavits/declaration(s)		rney, Revocation rrespondence Address	Status Letter		
Extension of Time Request	Terminal Disc	claimer	Other Enclosure(s) (please identify below):		
Express Abandonment Request	Request for	Refund	Form 1449, 3 pages Return Postcard		
X Information Disclosure Statement, 3 pages	CD, Number	of CD(s)			
Certified Copy of Priority Document(s)					
Response to Missing Parts/ Incomplete Application	Remarks				
Response to Missing Parts under 37 CFR 1.52 or 1.53					
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May 18, 2004					





## CERTIFICATE OF MAILING BY "FIRST CLASS"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on May 18, 2004.

Rhea Amid

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Barbara CORDELL et al.

Serial No.:

10/749,714

· Filing Date:

December 31, 2003

For:

MODULATION OF Aβ LEVELS BY β -

SECRETASE BACE2

Examiner: Not Yet Assigned

Group Art Unit: 1641

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action directed to the related application Serial No. 09/886,143 filed 20 June 2001 and issued 30 March 2004 as U.S. Patent 6,713,276; accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

	This In	formation Disclosure Statement is submitted:				
	With	the application; accordingly, no fee or separate requirements are required.				
	Befor	e the mailing of a first Office Action after the filing of a Request for Continued				
	Exam	ination under § 1.114.				
$\boxtimes$	Withi	n three months of the application filing date or before mailing of a first Office				
	Actio	n on the merits; accordingly, no fee or separate requirements are required.				
	After	receipt of a first Office Action on the merits but before mailing of a final Office				
	Actio	Action or Notice of Allowance.				
		A fee is required. A check in the amount of is enclosed.				
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached				
		to this submission in duplicate.				
		A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee				
	•	is believed to be due.				
	After	mailing of a final Office Action or Notice of Allowance, but before payment of the				
	issue	fee.				
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the				
		amount of is enclosed.				
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal				
		form (PTO/SB/17 is attached to this submission in duplicate.)				

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 219002030710. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: May 17, 2004

Respectfully submitted,

By:

James J. Mullen, III, Ph.D.

Rég. No. 44,957

Morrison & Foerster LLP

3811 Valley Centre Drive, Suite 500

San Diego, California 92130-2332

Telephone: (858) 720-7940 Facsimile: (858) 720-5125

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	1.		2002/0157122	Wo	ng et al.	800	12	10/2002	
	2.	08/1997	5,656,477	Vit	ek et al.	435	325		
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	3.	06/1998	EP 0 848 062	Eur	ope				
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